

Letter For NSE MFSS / BSE Star MF

Voluntary

Date

Prabhudas Lilladher Pvt. Ltd.
3rd Floor, Sadhana House,
570 P B Marg, Worli, Mumbai 400 018

Sub: Mutual Fund Service System (MFSS) Facility of NSE / BSE STAR MF

Dear Sir,

We are registered as your client with Client Code as mentioned below for the purpose of trading in the Capital Market Segment. We are interested in availing the facility of the following Exchange for the purpose of dealing in the units of Mutual funds Scheme permitted to be dealt with.

NSE MFSS BSE STAR MF

For the purpose of availing the facility, we state that Know Your Client details as submitted by us for the securities broking may be considered for this purpose and we further confirm that the details contained in same remain unchanged as on date.

We are willing to abide by the terms and conditions as mentioned in the Circular dated November 24, 2009, and as may be specified by the Exchange from time to time in this regard.

We shall also ensure compliance with the requirements as may be specified from time to time by the Securities and Exchange Board of India and/or Association of Mutual Funds of India (AMFI).

We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which we choose to subscribe / redeem. We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. We therefore request you to register us as your client for participating in the NSE MFSS/ BSE STAR MF.

Terms and Conditions

- The client shall be bound by circulars issued by NSEIL/ BSE, Rules, Regulations, and circulars issued there under by the SEBI and relevant notifications of Government authorities as may be in force from time to time.
- The client shall notify the Participant in writing if there is any change in the information in the 'client registration form' provided by the client to the Participant at the time of registering as a client for participating in the New MFSS/ BSE STAR MF or at any time thereafter.
- The client shall submit to the Participant a completed application form in the manner and prescribed format for the purpose of placing a subscription order with the Participant.
- The client has read and understood the risks involved in investing in Mutual Fund Schemes.
- The client shall be wholly responsible for all his investment decisions and instruction.
- The client shall ensure continuous compliance with the requirements of the NSEIL, BSE, SEBI and AMFI.
- The Client shall pay to the Participant fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that Participant renders to the Client.
- The client will furnish information to the Participant in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
- In the event of non-performance of the obligation by the Participant, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of NSEIL/ BSE or NSCCL/ICCL
- In case of any dispute between the Participants and the investors arising out of this facility, NSEIL/BSE and / or NSCCL/ICCL agrees to extend the necessary support for the speedy redressal of the disputes.

Yours faithfully,

Client Code

Note: Signature required in case opted for NSE MFSS or BSE STAR MF under Trading Preferences Confirmation of the arrangement with Sub-broker/Authorised Person

Prabhudas Lilladher Private Limited

Regd. Office: 3rd Floor, Sadhana House, 570, P. B. Marg, Worli, Mumbai - 400 018. | Tel.: +91 22 6632 2222 | www.plindia.com
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