

**SMART ACCOUNT APPLICATION FORM**  
(Strategic Monitoring And Review Tool)

**(Voluntary)**

Date: \_\_\_\_\_

Client Code: \_\_\_\_\_ Client Name: \_\_\_\_\_

To,

**Prabhudas Lilladher Pvt. Ltd.**

**Worli, Mumbai - 400 018.**

Dear Sirs,

**Sub: Authority to issue Electronic Contract Notes (ECN)& other statements etc. through E-mail and Application for "SMART" Account to view details of Trade/Fund/Securities/Margin etc. (Back Office Details)**

I / We, hereby authorize you to issue ECN and request you to enroll my/our name for the SMART Account, which will enable me / us to view my Back Office Details, Research Reports etc at your website www.plclients.com, and allot me/ us the User ID & Password for the same. I / We authorise and/or undertake you as stated below:-

**1. To issue ECN/Account Statements/Other Statements through E-mail.**

I / We authorise you to issue contract notes of my/ our dealings at Cash / F&O / Currency Derivative segment of NSE/BSE by way of ECN through E-mail only, at my /our personal E-mail ID as mentioned in my /our Client Registration Form/Modification Form or informed to you through other mode, if any, permitted by you from time to time, in accordance with the SEBI circular SMDRP/POLICY/CIR-56/00 dated 15th December 2000.

I / We also agree that the dispatch of the ECN etc and entry your in "Sent items" folder shall constitute deemed delivery of the same and it shall be my / our responsibility to check my / our E-mail for the same. I / we also agree to bring to your notice of any discrepancy in ECN on T+1 day failing which the contract shall be considered as accepted and acknowledged.

I /we undertake to notify you the non –receipt of ECN within 24 hours of the trade date, failure of which shall be presumed as receipt of the same by me/us. If I/we inform you within 24 hours of the date of trade about non-receipt of ECN contract, you will arrange to issue hard-copy of the contract to me/us. I / We also authorize you to stop issuing physical copy of contract at your discretion.

I / We also authorize you to send account statements / security & other statements periodically / Client Registration documents through Email mode only at the above mentioned my/ our E-mail ID.

I / We, also undertake to inform you of any change in my / our E-mail ID by submitting you duly signed Modification Form or inform you the changes through other mode, if any, permitted by you from time to time in future. Till change of email ID is informed to you, e-contracts etc may be send at my /our existing email ID.

I / We authorize you to E-mail my / our login id and password to view my/our Back Office Details to my/our E-mail ID. I / we understand that my/our login id and password to view Back Office Details at your site www.plclients.com will be sent to me / us through post at my/our correspondence address as mentioned in the Client Registration Form.

I / We also acknowledge that the ECN etc will be available on your site www.plclients.com for a period of at least 120 calendar days from the date of trade and I/we further acknowledge that I/we can view /print the ECN etc by logging in with my/our login id and password provided by you.

**2. To view my / our Back Office Details through "SMART" facility**

1. We understand that this facility will enable me/ us to view and verify my /our trade details, financial details, margin details and security details ( provided I /we have my /our DP account with your PL-DP division) with your records from time to time.
2. I / We understand that my/our records will tally with your books of accounts but in case of any discrepancy, I / we inform you discrepancies immediately.
3. In case, my / our BO account or my / our ledger account with your broking division is inadvertently

credited with excess shares or fund as the case may be, I / we authorize you to reverse the excess credit of shares in my/our BO A/c ( provided my /our BO account is with your PL –DP Division) and / or excess credit from my/ our ledger account. If excess funds /Securities are received by me /us. I / We assure you that such excess shares and /or funds will be returned to you immediately.

**3. Authority to view my / our Back Office Details by my / our family-member / Sub-broker/Remisier**

1. I / We authorize Mr/Ms. \_\_\_\_\_ having PL Client Code \_\_\_\_\_ who is my/our \_\_\_\_\_ to view my/our, Back Office Details etc.
2. I / we also authorize your Sub-Broker/Remisier viz. \_\_\_\_\_ to view my / our Back Office Details etc.

**4. Undertaking to keep login id/password confidential**

I/ We, further, undertake to keep my / our login ID /password confidential and I / we will be responsible for unauthorized use of my/ our login ID / password.

Thanking you,

Yours truly,

Signature of the Client\* *xx* \_\_\_\_\_

**\*Note:** Authority Letter to be Signed by the client only and not by his/ her Authorized Person or POA holder.