

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U67190MH1983PTC029670

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACP2733Q

(ii) (a) Name of the company

PRABHUDAS LILLADHER PRIVA

(b) Registered office address

3RD FLOOR, SADHANA HOUSE,  
570 P.B. MARG, BEHIND MAHINDRA TOWER,WORLI  
MUMBAI  
Maharashtra  
400018

(c) \*e-mail ID of the company

ROCCompliance@plindia.com

(d) \*Telephone number with STD code

02266322276

(e) Website

www.plindia.com

(iii) Date of Incorporation

30/03/1983

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	PRABHUDAS LILLADHER ADVIS	U65990MH1991PTC060157	Holding	100
2	PRABHUDAS LILLADHER IFSC F	U65999GJ2017PTC096512	Subsidiary	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

#### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	25,999,000	17,440,000	17,440,000	17,440,000
Total amount of equity shares (in Rupees)	259,990,000	174,400,000	174,400,000	174,400,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Share Capital				

Number of equity shares	25,999,000	17,440,000	17,440,000	17,440,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	259,990,000	174,400,000	174,400,000	174,400,000

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	1,000	100	100	100
Total amount of preference shares (in rupees)	10,000	1,000	1,000	1,000

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
10% Non-Cumulative Redeemable Preference Share				
Number of preference shares	1,000	100	100	100
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	10,000	1,000	1,000	1,000

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	17,440,000	0	17440000	174,400,000	174,400,000	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0

vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text" value="N.A."/>				0	0	
<b>At the end of the year</b>	17,440,000	0	17440000	174,400,000	174,400,000	

### Preference shares

<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	100	0	100	1,000	1,000	0
i. Issues of shares	100	0	100	1,000	1,000	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text" value="N.A."/>						0
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
<b>At the end of the year</b>	100	0	100	1,000	1,000	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		22/07/2022	
Date of registration of transfer (Date Month Year)		15/11/2022	
Type of transfer	Equity Shares	1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	3	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	16		
Transferor's Name	Sheth	Prabhudas	Arun
	Surname	middle name	first name
Ledger Folio of Transferee	17		

Transferee's Name	<input type="text" value="Vora"/>	<input type="text" value="Niraj"/>	<input type="text" value="Amisha"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

1,746,926,341

**(ii) Net worth of the Company**

762,045,756

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	98	98
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0

6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	17,440,000	100	0	0
10.	Others	0	0	0	0
	<b>Total</b>	17,440,000	100	98	98

**Total number of shareholders (promoters)**

2

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	2	2
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0



	<b>Total</b>	0	0	2	2
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**Total number of shareholders (other than promoters)**

2

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

4

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	3	2
Members (other than promoters)	0	2
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	3	1	2	0	0	0
<b>B. Non-Promoter</b>	0	0	1	1	0	0
(i) Non-Independent	0	0	1	1	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	3	1	3	1	0	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

5

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
AMISHA NIRAJ VORA	00089193	Managing Director	6	
DHIREN PRABHUDAS	00087217	Director	0	
SANDIP SURESH RAIC	00031938	Director	0	
SIDDHARTH NIRAJ VC	03628821	Director	0	
SACHINKUMAR TRILO	ECGPP4710L	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

7

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SANDIP SURESH RAIC	00031938	Director	22/07/2022	Change in designation
DHIREN PRABHUDAS	00087217	Director	15/11/2022	Change in designation
SIDDHARTH NIRAJ VC	03628821	Additional director	15/11/2022	Appointment
ARUN PRABHUDAS SH	00086891	Director	15/11/2022	Cessation
DILIP PARMESHWAR B	00089257	Managing Director	15/11/2022	Cessation
NIKITA PRAKASH MIS	BUUPM3871J	Company Secretar	25/11/2022	Cessation
SACHINKUMAR TRILO	ECGPP4710L	Company Secretar	14/12/2022	Appointment

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS****A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

4

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	22/07/2022	3	3	100
Extraordinary General Meet	30/11/2022	2	2	100
Extraordinary General Meet	15/12/2022	2	2	100
Extraordinary General Meet	19/12/2022	2	2	100

## B. BOARD MEETINGS

\*Number of meetings held

22

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	13/04/2022	5	4	80
2	20/04/2022	5	4	80
3	27/04/2022	5	4	80
4	20/05/2022	5	2	40
5	06/06/2022	5	3	60
6	22/06/2022	5	3	60
7	12/07/2022	5	5	100
8	22/07/2022	5	5	100
9	05/09/2022	5	4	80
10	30/09/2022	5	3	60
11	14/10/2022	5	3	60
12	09/11/2022	5	3	60

## C. COMMITTEE MEETINGS

Number of meetings held

2

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Corporate Soc	06/06/2022	4	3	75
2	Corporate Soc	06/02/2023	4	4	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	14/09/2023
								(Y/N/NA)
1	AMISHA NIRAJ VO	22	22	100	2	2	100	Yes
2	DHIREN PRABHU	22	17	77.27	2	2	100	Yes
3	SANDIP SURIP	22	18	81.82	2	2	100	Yes
4	SIDDHARTH I	8	7	87.5	1	1	100	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	AMISHA NIRAJ VO	Chairperson & M	29,226,165	0	0	423,835	29,650,000
	Total		29,226,165	0	0	423,835	29,650,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	Sachinkumar Triloki	Company secret	230,320	0	0	0	230,320
	Total		230,320	0	0	0	230,320

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	DHIREN PRABHU	Director	22,284,428	0	0	7,500	22,291,928

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	SANDIP SURESH F	Director	11,850,060	0	0	264,000	12,114,060
3	SIDDHARTH NIRAJ	Director	5,501,000	0	0	0	5,501,000
4	DILIP PARMESHW	Director	14,166,950	0	0	0	14,166,950
	Total		53,802,438	0	0	271,500	54,073,938

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SANIL DHAYALKAR

Whether associate or fellow

Associate  Fellow

Certificate of practice number

16568

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

Amisha Niraj Vora  
Digitally signed by Amisha Niraj Vora  
Date: 2023.11.09 11:41:51 +05'30'

DIN of the director

00089193

**To be digitally signed by**

SACHINKUMAR TILLOKINATH PANDEY  
Digitally signed by SACHINKUMAR TILLOKINATH PANDEY  
Date: 2023.11.09 11:43:31 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

66187

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

PLPL_Board Meetings_2022-23.pdf List of Shareholders_PLPL_22-23.pdf MGT-8_2022-23_PLPL.pdf
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Modify
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Check Form
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**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

Annexure – C

Details of Board Meetings held during the Financial Year 2022-23

Sr. No.	Date of meeting	Names of Directors as on the date of meeting	Directors Present	Directors Absent
1	13 <sup>th</sup> April, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Sandip Raichura	1. Dilip Bhat
2	20 <sup>th</sup> April, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat	1. Sandip Raichura
3	27 <sup>th</sup> April, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat	1. Sandip Raichura
4	20 <sup>th</sup> May, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Dhiren Sheth 2. Amisha Vora	1. Arun Sheth 2. Dilip Bhat 3. Sandip Raichura
5	06 <sup>th</sup> June, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Dhiren Sheth 2. Amisha Vora 3. Sandip Raichura	1. Arun Sheth 2. Dilip Bhat
6	22 <sup>nd</sup> June, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Dhiren Sheth 2. Amisha Vora 3. Sandip Raichura	1. Arun Sheth 2. Dilip Bhat
7	12 <sup>th</sup> July, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	None
8	22 <sup>nd</sup> July, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	None
9	5 <sup>th</sup> September, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Sandip Raichura	1. Dilip Bhat





10	30 <sup>th</sup> September, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Dhiren Sheth 2. Amisha Vora 3. Sandip Raichura	1. Arun Sheth 2. Dilip Bhat
11	14 <sup>th</sup> October, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Dhiren Sheth 2. Amisha Vora 3. Sandip Raichura	1. Arun Sheth 2. Dilip Bhat
12	09 <sup>th</sup> November, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Dhiren Sheth 2. Amisha Vora 3. Sandip Raichura	1. Arun Sheth 2. Dilip Bhat
13	14 <sup>th</sup> November, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Dhiren Sheth 2. Amisha Vora 3. Sandip Raichura	1. Arun Sheth 2. Dilip Bhat
14	15 <sup>th</sup> November, 2022	1. Arun Sheth 2. Dhiren Sheth 3. Amisha Vora 4. Dilip Bhat 5. Sandip Raichura	1. Amisha Vora 2. Sandip Raichura	1. Dhiren Sheth 2. Arun Sheth 3. Dilip Bhat
15	17 <sup>th</sup> November, 2022	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	1. Amisha Vora 2. Sandip Raichura 3. Siddharth Vora	1. Dhiren Sheth
16	22 <sup>nd</sup> November, 2022	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	1. Amisha Vora 2. Sandip Raichura 3. Siddharth Vora	1. Dhiren Sheth
17	14 <sup>th</sup> December, 2022	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	None
18	16 <sup>th</sup> December, 2022	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	None
19	19 <sup>th</sup> December, 2022	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	1. Amisha Vora 2. Siddharth Vora	1. Dhiren Sheth 2. Sandip Raichura
20	30 <sup>th</sup> December, 2022	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	1. Amisha Vora 2. Sandip Raichura	1. Dhiren Sheth 2. Siddharth Vora



21	06 <sup>th</sup> February, 2023	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	None
22	27 <sup>th</sup> March, 2023	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	1. Amisha Vora 2. Dhiren Sheth 3. Sandip Raichura 4. Siddharth Vora	None

For and on behalf of the Board of Directors  
**Prabhudas Lilladher Private Limited**

*Amisha N Vora*

**Amisha Niraj Vora**  
**Chairperson & Managing Director**  
**DIN: 00089193**

*Dhiren Sheth*

**Dhiren Prabhudas Sheth**  
**Vice Chairman & Director**  
**DIN: 00087217**

**Place: Mumbai**  
**Date: 04<sup>th</sup> July, 2023**



*Pr.*

**SHAREHOLDING PATTERN OF PRABHUDAS LILLADHER PRIVATE LIMITED AS ON 31<sup>ST</sup>  
MARCH, 2023**

**A. Equity Shareholding:**

Sr. No.	Type of shares	Name of the Holder	Number of shares	Face value per share (Rs.)	Amount (Rs.)	% of Total Holding
1.	Equity Shares	Prabhudas Lilladher Advisory Services Private Limited	1,74,39,994	10	17,43,99,940	99.99
2.	Equity Shares	Mrs. Amisha Vora Jointly with Prabhudas Lilladher Advisory Services Private Limited	*6	10	60	0.01
	<b>Total</b>		<b>1,74,40,000</b>		<b>17,44,00,000</b>	<b>100.00</b>

\* Shares held as nominee of Prabhudas Lilladher Advisory Services Private Limited (PLAD)

**B. Preference Shareholding: 10% Non-Cumulative Redeemable Preference Shares:**

Sr. No.	Type of shares	Name of the Holder	Number of shares	Face value per share (Rs.)	Amount (Rs.)	% of Total Holding
1.	10% Non-cumulative Redeemable Preference Shares	Mrs. Amisha Niraj Vora	98	10	980	98
2.	10% Non-cumulative Redeemable Preference Shares	Mr. Arun Prabhudas Sheth	1	10	10	1
3.	10% Non-cumulative Redeemable Preference Shares	Mrs. Purnima Arun Sheth	1	10	10	1
		<b>Total</b>	<b>100</b>		<b>1000</b>	<b>100.00</b>

**For Prabhudas Lilladher Private Limited**

Amisha Niraj Vora  
Digitally signed by Amisha Niraj Vora  
Date: 2023.10.19 18:00:16 +05'30'

**Amisha Niraj Vora**

Chairperson & Managing Director

DIN: 00089193

Address: B/3101, 31<sup>st</sup> Floor, Beau Monde Towers,

Appa Saheb Marathe Marg, Prabhadevi, Mumbai-400025

**Prabhudas Lilladher Private Limited**

Regd. Office: 3rd Floor, Sadhana House, 570, P.B. Marg, Worli, Mumbai - 400 018. Tel: +91 22 6632 2222

Fax: +91 22 6632 2229 CIN: U67190MH1983PTC029670 Compliance Officer Email: [co@plindia.com](mailto:co@plindia.com)

SEBI REGN. NO. (Broking): INZ000196637 [Membership Codes: (BSE) 564] (NSE)- 05977 (MCX) - 40690]

(Depository Participant) IN-DP-439-2019 [DP-IDs (CD&L) 12011300 | (NSDL) IN303868] | (PMS) INP000001231. (Research Analyst)

INH000000371 (Investment Advisory) INA00011811 (AMFI) 3276



## SANIL DHAYALKAR & Co.

Company Secretary

703/A-Wing, Raunak Tower, Above Bank of Maharashtra,  
Naupada, Gokhale Road, Thane (W)-400602  
Mobile: 9820251825/email: sanil@sdac.co.in

### Form No. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies  
(Management and Administration) Rules, 2014]

### CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records, books and papers of **PRABHUDAS LILLADHER PRIVATE LIMITED** (CIN-U67190MH1983PTC029670) (“the Company”) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the period under the Companies Act, 2013 (the Act) and the rules made thereunder for the period from 1<sup>st</sup> April, 2022 till 31<sup>st</sup> March, 2023 (hereinafter referred to as “Period”).

In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents,

I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid period the Company has complied with provisions of the Act & Rules made there under in respect of:
  1. Its status under the Act;
  2. Maintenance of registers/records & making entries therein within the time prescribed therefore;
  3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court or other~~ authorities within/beyond the prescribed time;
  4. Calling/ convening/ holding meetings of the Board of Directors and its committees (CSR Committee), and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and ~~resolutions passed by postal ballot~~, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
  5. Closure of Register of Members / Security holders, as the case may be - Not Applicable
  6. There were no advances/loans given to its directors and/or persons or firms or companies referred in section 185 of the Act during the period under review.

7. Contracts/arrangements with related parties as specified in section 188 of the Act; the transactions entered with related parties were in the ordinary course of business and on an arm's length basis.
8. The Company is in compliance with applicable provisions in respect of issue & allotment of 100, 10% Non-cumulative Redeemable Preference Shares, transfer of 03 equity shares and the issue of share certificates for the aforesaid issue/transfer during the period under review. There is no transmission or buyback of securities/ redemption of debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in these instances during the period under review;
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - Not Applicable
10. There were no instances of declaration/ payment of dividends; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub-section (3), (4) and (5) thereof;
12. Constitution/ appointment/ re-appointments/ ~~retirement/ filling up casual vacancies~~/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
  1. In the Annual General Meeting held on 22<sup>nd</sup> July, 2022, the designation of Mr. Sandip Raichura (DIN: 00031938) was changed from Additional Director to Director of the Company;
  2. Mr. Arun Prabhudas Sheth (DIN: 00086891) resigned from the post of Managing Director w.e.f. 15<sup>th</sup> November, 2022;
  3. Mr. Dilip Parmeshwar Bhat (DIN: 00089257) resigned from the post of Managing Director w.e.f. 15<sup>th</sup> November, 2022;
  4. In the Board Meeting held on 15<sup>th</sup> November, 2022, Mrs. Amisha Vora (DIN: 00089193) was designated as Chairperson of the Company;
  5. In the Board Meeting held on 15<sup>th</sup> November, 2022, Designation of Mr. Dhiren Prabhudas Sheth (DIN: 00087217) was changed from Vice Chairman & Managing Director to Vice Chairman & Non-Executive Director;
  6. In the Board Meeting held on 15<sup>th</sup> November, 2022, Mr. Siddharth Niraj Vora (DIN: 03628821) was appointed as an Additional Director of the Company with immediate effect;
  7. Mrs. Nikita Mistry resigned from the post of Company Secretary (KMP) of the Company w.e.f. 25<sup>th</sup> November, 2022;
  8. In the Board Meeting held on 14<sup>th</sup> December, 2022, Mr. Sachinkumar Trilokinath Pandey was appointed as Company Secretary (KMP) of the Company with immediate effect;
  9. In the Board Meeting held on 27<sup>th</sup> March, 2023, Mrs. Amisha Niraj Vora (DIN: 00089193) was re-appointed as Managing Director of the Company w.e.f. 01<sup>st</sup> April, 2023.
13. ~~Appointment/~~ reappointment/ ~~filling up casual vacancies~~ of auditors as per the provisions of section 139 of the Act;



14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act - Not Applicable;
15. The Company is in compliance with applicable provisions in respect of Acceptance of an unsecured deposit from the preference shareholder of the Company during the period under review. There are no renewal/ repayment of deposits during the period under review;
16. Borrowings from its directors, members, public financial institutions, banks and others, wherever applicable.

There were instances of the creation of charge during the period under review;

- a) The provisions contained in Chapter VI of the Companies Act, 2013 have been made in accordance with the provisions of the act, to the extent applicable;

There were instances of modification of charge during the period under review;

- b) The provisions contained in Chapter VI of the Companies Act, 2013 have been made in accordance with the provisions of the act, to the extent applicable;

There were instances of satisfaction of charge during the period under review;

- c) The provisions contained in Chapter VI of the Companies Act, 2013 have been made in accordance with the provisions of the act, to the extent applicable;

17. Loans and investments or guarantee given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act have been made in accordance with the provisions of the act, to the extent applicable;

18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company – Not Applicable;



**Date: 08.11.2023**

**Place: Thane**

**UDIN: A031036E001743626**