

# INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF DEBT SECURITIES

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to public issue of Debt Securities:

#### Data for the month ended November 30, 2024:

Sr.	Received from	Pending	Received	Resolved	Total	Pending	Average
No.		as at the end of last	during particular	during particular	Pending during	complaints	Resolution time^
		month	month	month*	particular month #	> 1 month	(in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr.	Month	Received during	Resolved during	Pending at the
No.		particular month	particular month*	end of particular
1	April, 2024	0	0	0
2	May, 2024	0	0	0
3	June, 2024	0	0	0
4	July, 2024	0	0	0
5	August, 2024	0	0	0
6	September, 2024	0	0	0
7	October, 2024	0	0	0
8	November, 2024	0	0	0
9	December, 2024			
10	January, 2025			
11	February, 2025			
12	March, 2025			

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	particular year	particular year	of particular
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



## INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to public issue of non-convertible redeemable preference shares:

#### Data for the month ended November 30, 2024:

Sr.	Received from	Pending	Received	Resolved	Total	Pending	Average
No.		as at the end of last	during particular	during particular	Pending during	complaints	Resolution time^
		month	month	month*	particular month #	> 1 month	(in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr.	Month	Received during	Resolved during	Pending at the
No.		particular month	particular month*	end of particular
1	April, 2024	0	0	0
2	May, 2024	0	0	0
3	June, 2024	0	0	0
4	July, 2024	0	0	0
5	August, 2024	0	0	0
6	September, 2024	0	0	0
7	October, 2024	0	0	0
8	November, 2024	0	0	0
9	December, 2024			
10	January, 2025			
11	February, 2025			
12	March, 2025			

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	particular year	particular year	of particular
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



# $\frac{\textbf{INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF DEBT SECURITIES AND OF NON-CONVERTIBLE REDEEMABLE}{\textbf{PREFERENCE SHARES}}$

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to private placement of debt securities and non-convertible redeemable preference shares:

#### Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last	Received during particular	Resolved during particular	Total Pending during	Pending complaints	Average Resolution time^
		month	month	month*	particular month #	> 1 month	(in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr.	Month	Received during	Resolved during	Pending at the
No.		particular month	particular month*	end of particular
1	April, 2024	0	0	0
2	May, 2024	0	0	0
3	June, 2024	0	0	0
4	July, 2024	0	0	0
5	August, 2024	0	0	0
6	September, 2024	0	0	0
7	October, 2024	0	0	0
8	November, 2024	0	0	0
9	December, 2024			
10	January, 2025			
11	February, 2025			
12	March, 2025	·		

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



#### INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF MUNICIPAL DEBT SECURITIES

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to private placements of Municipal debt securities:

Data for the month ended November 30, 2024:

Sr.	Received from	Carried forward	Received	Resolved during	Pending at the end	Pending	Average Resolution
No.		from previous month	during the month	the month*	of month #	complaints > 3	time^ (in days)
						month	
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	April, 2024	0	0	0	0
2	May, 2024	0	0	0	0
3	June, 2024	0	0	0	0
4	July, 2024	0	0	0	0
5	August, 2024	0	0	0	0
6	September, 2024	0	0	0	0
7	October, 2024	0	0	0	0
8	November, 2024	0	0	0	0
9	December, 2024				
10	January, 2025				
11	February, 2025				
12	March, 2025				

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Last 5 yea	ars trenu				
Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	the particular year	the particular	of the particular year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



# INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF INVITS

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to private placement of units by InvITs proposed to be listed:

## Data for the month ended November 30, 2024:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

## Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	April, 2024	0	0	0	0
2	May, 2024	0	0	0	0
3	June, 2024	0	0	0	0
4	July, 2024	0	0	0	0
5	August, 2024	0	0	0	0
6	September, 2024	0	0	0	0
7	October, 2024	0	0	0	0
8	November, 2024	0	0	0	0
9	December, 2024				
10	January, 2025				
11	February, 2025				·
12	March, 2025				

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



# **IINVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF INVITS**

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to public issue of units by InvITs:

## Data for the month ended November 30, 2024:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	complaints > 3	Average Resolution time^ (in days)
						month	
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

#### Monthly trend for the financial year:

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	April, 2024	0	0	0	0
2	May, 2024	0	0	0	0
3	June, 2024	0	0	0	0
4	July, 2024	0	0	0	0
5	August, 2024	0	0	0	0
6	September, 2024	0	0	0	0
7	October, 2024	0	0	0	0
8	November, 2024	0	0	0	0
9	December, 2024				
10	January, 2025				
11	February, 2025				
12	March, 2025				

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

	Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
	1	2021-22	0	0	0	0
ſ	2	2022-23	0	0	0	0
ſ	3	2023-24	0	0	0	0
ſ		Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



## INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF REITS

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to public issue of units by REITs:

## Data for the month ended November 30, 2024:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

#### Monthly trend for the financial year:

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	April, 2024	0	0	0	0
2	May, 2024	0	0	0	0
3	June, 2024	0	0	0	0
4	July, 2024	0	0	0	0
5	August, 2024	0	0	0	0
6	September, 2024	0	0	0	0
7	October, 2024	0	0	0	0
8	November, 2024	0	0	0	0
9	December, 2024				
10	January, 2025				·
11	February, 2025				
12	March, 2025			·	·

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

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Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end		
No.		from previous year	the year	the year	of the year		
1	2021-22	0	0	0	0		
2	2022-23	0	0	0	0		
3	2023-24	0	0	0	0		
	Grand total	0	0	0	0		

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



# INVESTORS COMPLAINTS DATA FOR INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS):

#### Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	July, 2024	0	0	0	0
2	August, 2024	0	0	0	0
3	September, 2024	0	1	1	0
4	October, 2024	0	0	0	0
5	November, 2024	0	0	0	0
	Grand Total	0	1	1	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	the year	the year	of the year
1	2019	0	0	0	0
2	2020	0	0	0	0
3	2021	0	0	0	0
4	2022	0	0	0	0
5	2023	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



# INVESTORS COMPLAINTS DATA FOR SMALL AND MEDIUM ENTERPRISES (SME)-INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS) by Small and Medium Enterprises:

Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	July, 2024	0	0	0	0
2	August, 2024	0	0	0	0
3	September, 2024	0	0	0	0
4	October, 2024	0	0	0	0
5	November, 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	the year	the year	of the year
1	2019	0	0	0	0
2	2020	0	0	0	0
3	2021	0	0	0	0
4	2022	0	0	0	0
5	2023	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



## INVESTORS COMPLAINTS DATA FOR RIGHTS ISSUE

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to Rights Issue:

## Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	July, 2024	0	0	0	0
2	August, 2024	0	0	0	0
3	September, 2024	0	0	0	0
4	October, 2024	0	0	0	0
5	November, 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	the year	the year	of the year
1	2019	0	0	0	0
2	2020	0	0	0	0
3	2021	0	0	0	0
4	2022	0	0	0	0
5	2023	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



# INVESTORS COMPLAINTS DATA FOR QUALIFIED INSTITUTIONS PLACEMENT (QIP)

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to Qualified Institutions Placement (QIP):

## Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	July, 2024	0	0	0	0
2	August, 2024	0	0	0	0
3	September, 2024	0	0	0	0
4	October, 2024	0	0	0	0
5	November, 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	the year	the year	of the year
1	2019	0	0	0	0
2	2020	0	0	0	0
3	2021	0	0	0	0
4	2022	0	0	0	0
5	2023	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



## INVESTORS COMPLAINTS DATA FOR PREFERENTIAL ISSUE

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to Preferential Issue:

## Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	July, 2024	0	0	0	0
2	August, 2024	0	0	0	0
3	September, 2024	0	0	0	0
4	October, 2024	0	0	0	0
5	November, 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr.	Year	Carried forward Received during Resolved during		Pending at the end	
No.		from previous year	the year	the year	of the year
1	2019	0	0	0	0
2	2020	0	0	0	0
3	2021	0	0	0	0
4	2022	0	0	0	0
5	2023	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



# INVESTORS COMPLAINTS DATA FOR BUYBACK OF SECURITIES

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to Buyback of Securities:

Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	July, 2024	0	0	0	0
2	August, 2024	0	0	0	0
3	September, 2024	0	0	0	0
4	October, 2024	0	0	0	0
5	November, 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	the year	the year	of the year
1	2019	0	0	0	0
2	2020	0	0	0	0
3	2021	0	0	0	0
4	2022	0	0	0	0
5	2023	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



# INVESTORS COMPLAINTS DATA FOR DELISTING OF EQUITY SHARES

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to Delisting of Equity Shares:

Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	July, 2024	0	0	0	0
2	August, 2024	0	0	0	0
3	September, 2024	0	0	0	0
4	October, 2024	0	0	0	0
5	November, 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr.	Year	ar Carried forward Received during Resolved du		Resolved during	Pending at the end
No.		from previous year	the year	the year	of the year
1	2019	0	0	0	0
2	2020	0	0	0	0
3	2021	0	0	0	0
4	2022	0	0	0	0
5	2023	0	0	0	0
	Grand total	0	0	0	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



#### INVESTORS COMPLAINTS DATA FOR SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024 with respect to Substantial Acquisitions of Shares and Takeovers:

Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	July, 2024	0	0	0	0
2	August, 2024	0	0	0	0
3	September, 2024	0	0	0	0
4	October, 2024	0	0	0	0
5	November, 2024	0	0	0	0
	Grand Total	0	0	0	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	the year	the year	of the year
1	2019	0	0	0	0
2	2020	0	0	0	0
3	2021	0	2	2	0
4	2022	0	0	0	0
5	2023	0	0	0	0
	Grand total	0	2	2	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.



# COLLECTIVE INVESTORS COMPLAINTS DATA FOR

# i. INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)

#### ii. SMALL AND MEDIUM ENTERPRISES (SME)-INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)

iii. RIGHTS ISSUE

## iv. QUALIFIED INSTITUTIONS PLACEMENT (QIP)

v. PREFERENTIAL ISSUE

vi. BUYBACK OF SECURITIES

vii. <u>DELISTING OF EQUITY SHARES</u> viii. <u>SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS</u>

Details of investors complaints' received by PL Capital Markets Private Limited up to November 30, 2024

#### Data for the month ended November 30, 2024:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	July, 2024	0	0	0	0
2	August, 2024	0	0	0	0
3	September, 2024	0	1	1	0
4	October, 2024	0	0	0	0
5	November, 2024	0	0	0	0
	Grand Total	0	1	1	0

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Sr.	Year	Carried forward	Received during	Resolved during	Pending at the end
No.		from previous year	the year	the year	of the year
1	2019	0	0	0	0
2	2020	0	0	0	0
3	2021	0	2	2	0
4	2022	0	0	0	0
5	2023	0	0	0	0
	Grand total	0	2	2	0

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.